

MAY 19 2005

JC03 Rec'd PCT/PTO 19 MAY 2005

PTO/SB21 (09-04)

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

| | |
|------------------------|--------------------|
| Application Number | 10/521,078 |
| Filing Date | January 11, 2005 |
| First Named Inventor | WHYE-KEILYE et al. |
| Art Unit | Unassigned |
| Examiner Name | Unassigned |
| Attorney Docket Number | 021764-000920US |

ENCLOSURES (Check all that apply)

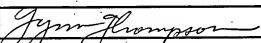
- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Reply to Missing Parts/ Incomplete Application
- ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____
- ☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
- PTO/SB08A and /08B Form;
5 Reference Copies;
PCT Search Report; and
Post Card


Remarks: The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|---|----------|--------|
| Firm Name | Townsend and Townsend and Crew LLP | | |
| Signature |  | | |
| Printed name | Lynn M. Thompson | | |
| Date | 5/16/05 | Reg. No. | 47,991 |

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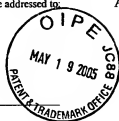
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| Typed or printed name | Brad Loos | Date | 05/17/05 |

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On

05/17/05

TOWNSEND and TOWNSEND and CREW LLP

By:

Brad Loos

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

WHYE-KEI LYE et al.

Application No.: 10/521,078

Filed: January 11, 2005

For: EXPANDABLE BODY HAVING
DEPLOYABLE MICROSTRUCTURES
AND RELATED METHODS

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of all non-patent references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

A copy of the corresponding Search Report from PCT/US03/21754 is also enclosed.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.G./

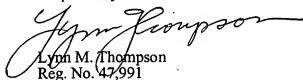
WHYE-KEI LYE et al.
Application No.: 10/521,078
Page 2

PATENT

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before receipt of the first Office Action on the Merits. Accordingly, Applicants believe that no fee is due. However, if a fee is due, the Examiner is hereby authorized to charge any fees required by this paper to Deposit Account No. 20-1430.

Respectfully submitted,



Lynn M. Thompson
Reg. No. 47,991

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PTO/SB/08A (08-03)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

| | |
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| Sheet | 1 |
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of

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|------------------------|------------------|
| Application Number | 10/521,078 |
| Filing Date | January 11, 2005 |
| First Named Inventor | WHYE-DEI LYE et |
| Art Unit | Unassigned |
| Examiner Name | Unassigned |
| Attorney Docket Number | 021764-000920US |

U.S. PATENT DOCUMENTS+

[illegible]

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No.¹ | Foreign Patent Document | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T^e |
|---------------------------|-----------------------------|---------------------------------|---------------------------|---|--|--|--|--------------------------|
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Examiner
Signature

/Suzette Gherbi/

Date
Considered

06/26/2008

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| Substitute for form 1449B/PTO | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | Application Number | 10/521,078 |
| | | Filing Date | January 11, 2005 |
| | | First Named Inventor | WHYE KEI LYE et al. |
| | | Art Unit | Unassigned |
| | | Examiner Name | Unassigned |
| | | Attorney Docket Number | 021764-000920US |
| Sheet | 2 | of | |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | 11 | CREEL et al., "Arterial paclitaxel distribution and deposition" <i>Circulation Research</i> (2000) 86:879-884. | |
| | 12 | GONSCHIOR et al., "Comparison of local intravascular drug delivery catheter systems" <i>American Heart Journal</i> (1995) 130(6):1174-1181. | |
| | 13 | HWANG et al., "Physiological transport forces govern drug distribution for stent-based delivery" <i>Circulation</i> (2001) 104:600-605. | |
| | 14 | NAKAMURA et al., "Molecular strategy using cis-element 'decoy' of E2F binding site inhibits neointimal formation in porcine balloon-injured coronary artery model" <i>Gene Therapy</i> (2002) 9:488-494. | |
| | 15 | SANTINI et al., "A controlled-release microchip" <i>Nature</i> (1999) 397:335-338. | |
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| Examiner Signature | /Suzette Gherbi/ | Date Considered | 06/26/2008 |
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